

COMPANY PROPRIETARY INFORMATION

PROGRESS REPORT (Before First Commercial Sale)

Progress Report Schedule

Due date	For period
February 28	July 1 - December 31
August 31	January 1 – June 30

LICENSE NUMBER	LICENSEE or SUBLICENSEE NAME	REPORTING PERIOD	REPORT DATE

Summary of work completed	Dates Milestones achieved	Resources (\$) Spent for Reporting Period	Key scientific discoveries

Summary of Work in Progress	Current Schedule of Anticipated Events or Milestones (with Dates)
Description of Licensed Products or Licensed Methods under development	Licensed Patents/Copyrights Practiced or Incorporated into each Licensed Product/Licensed Method under development
Anticipated market introduction date of Licensed Products/Methods	Commercial Name of Licensed Products/Methods

PROGRESS REPORT [Before First Commercial Sale] (continued)

	YES	NO
Changes in ownership (see Article on ASSIGNABILITY)? If YES, specify:	<input type="checkbox"/>	<input type="checkbox"/>
Any changes in business relationships (e.g., joint ventures, affiliates, strategic alliances, etc.)? If YES, specify:	<input type="checkbox"/>	<input type="checkbox"/>
Are you planning to manufacture substantially in the U.S.? If no, please explain:	<input type="checkbox"/>	<input type="checkbox"/>
Change in entity status (i.e., from small business to large or large to small)	<input type="checkbox"/>	<input type="checkbox"/>
Any sublicenses granted (if applicable)? If YES, please add the company name(s) below and confirm that a copy has been provided to LLNS, LLC Company Name(s):	<input type="checkbox"/>	<input type="checkbox"/>
Have you sublicensed or plan to sublicense to a foreign entity?	<input type="checkbox"/>	<input type="checkbox"/>

Name and title of person completing this form:

Telephone number: _____

Fax number: _____

Email address: _____

Thank you for taking the time to provide this information. Please submit this report electronically to:
ipo-royalties@lists.llnl.gov

If you have any questions regarding this report, please contact Nina Potter (925) 423-7310 or email:
potter14@llnl.gov